



DUPLICATE

Serial/Patent No.: 09/788,092

Applicant: Richard Lalau

Filing/Issue Date: 2/16/01

Title: Method for avoiding peak temperatures in communication devices

TRP Docket No.: 034300-121

Date Mailed: 7/1/03

Atty/Secty Initials: REK/av

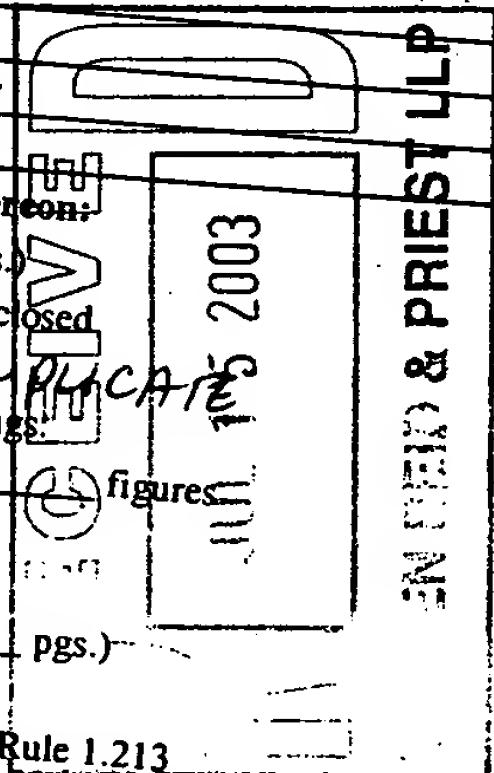
Docket Due Date: 7/9/03

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ☐ Application - Utility (____ pgs. with cover & abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Division (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ☐ Assignment and Cover Sheet
- ☐ Certificate of Correction
- ☒ Certificate of Mailing
- ☐ Declaration & POA (____ pgs.)
- ☒ Fee Transmittal **IN DUPLICATE**
- ☐ Drawings (informal):
____ # of sheets includes ____ figures
- ☒ Other:

Comments on Reasons for Allowance

- ☐ IDS & PTO 1449 (____ pgs.)
- ☐ ____ Pieces of Prior Art Enclosed
- ☒ Issue Fee Transmittal **IN DUPLICATE**
- ☐ Submission of Formal Drawings:
____ # of sheets includes ____ figures
- ☐ Notice of Appeal
- ☒ Postcard
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Req and Cert. Not to Publish - Rule 1.213
- ☐ Request for Continued Examination (RCE) (____ pgs.)
- ☐ Request for Extension of Time ____ Month(s)
- ☐ Response to Notice to File Missing Parts
- ☐ Copy of PTO Notice to File Missing Parts
- ☐ Transmittal Letter (original & copy)
- ☐ Express Mail No.:
- ☒ Check(s) \$1,618
- ☐ Deposit Acct. No. 50-1698 \$
- Patent Code:
- Client/Matter # 34300 - 121



Thelen Reid & Priest LLP

ATTORNEYS AT LAW

225 West Santa Clara Street, Suite 1200
San Jose, CA 95113-1723

BANK OF AMERICA NT & SA
S.F. Commercial Banking Office #1499
345 Montgomery Street
San Francisco, CA 94104

11-35

1210

Check Date:

July 1, 2003

Void After 90 Days

314630

PAY One thousand six hundred eighteen and 00/100 *****1,618.00 Dollars

TO
THE
ORDER
OF
Commissioner for Patents

Thelen Reid & Priest LLP

Thelen Reid & Priest LLP

Over \$500.00 Requires Two Signatures

034300-121

⑈00314630⑈ ⑆121000358⑆ 14992004009⑈

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,618

Complete If Known

Application Number	09/788,092
Filing Date	February 16, 2001
First Named Inventor	Richard Lalau
Examiner Name	Lau, Tung S.
Group / Art Unit	2863
Attorney Docket No.	034300-121

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order☐ Deposit Account:Deposit
Account
Number

50-1698

Deposit
Account
Name

Thelen Reid & Priest LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES

Total Claims		**	=	Extra Claims	0	X	Fee from below		=	Fee Paid	0
Independent Claims		**	=	0		X			=	0	
Multiple Dependent						X			=	0	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	1,300
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) 1504-public fee \$300; 8001 6 copies of pat @ \$3 ea

318

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 1,618

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

William E. Winters

Registration No. Attorney/Agent)

42,232

Telephone

408/292-5800

Signature

Date

7/1/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Richard Lalau
SERIAL NO.: 09/788,092
FILING DATE: February 16, 2001
TITLE: Method for Avoiding Peak Temperatures in Communication Devices
EXAMINER: Lau, Tung S.
ART UNIT: 2863

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450 Alexandria, VA
22313-1450, on the date printed below:

Date: 7/1/03

Name: Annette Valdivia
Annette Valdivia

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

APPLICANT'S COMMENTS ON REASONS FOR ALLOWANCE

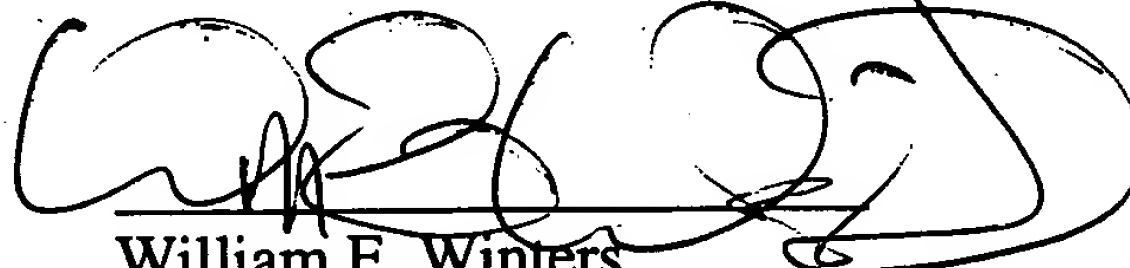
These comments are responsive to the Notice of Allowance mailed on April 9, 2003, which sets forth the Examiner's statement of reasons for allowance.

The Examiner is thanked for the kind allowance of all pending claims (i.e. claims 1 through 37). Applicants acknowledge the Examiner's statement of reasons for allowance as set forth in the Notice of Allowance. However, Applicants point out that the reasons for allowability of the above referenced claims are not limited to the reasons for allowance as set forth in the Notice of Allowance, and that additional reasons for allowability may exist, each of which may be independently sufficient to establish the patentability of one or more pending claims.

Applicants respectfully reserve the right to introduce, articulate, or otherwise comment on any such additional reasons for allowance as may be appropriate in any future proceedings concerning the claimed invention.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: ~~June~~ ^{July} 1, 2003



William E. Winters
Reg. No. 42,232

Thelen Reid & Priest LLP
P.O. Box 640640
San Jose, CA 95164-0640
Tel.: 408-292-5800
Fax: 408-287-8040